

Caldbeck Parish Council Risk assessment 2018-19

As part of its financial management Caldbeck Parish Council periodically examines its risk management strategy to ensure no undue risks are being taken with money, assets or the welfare of staff and that all steps necessary to prevent injury etc to the general public are being undertaken.

The Parish Council certifies in its Annual Statement that such an assessment has been made.

The Parish Council examines all its practices and operations and checks to see if risks are being covered. The annual Local Council Insurance Policy covers Public Liability, Employers Liability, Damage to Property and Personal Accident but there are a number of other checks the council makes as part of its risk management policies.

The following items are considered as part of the risk management policy: -

1. The Parish Council is committed to making information available to the residents of the Parish in light of the Transparency Code. Its 'Guide to Proactively Published Information' is on the Parish Website, www.caldbeck.org.uk. 'Parish Council News' is published monthly in the Parish Magazine and the Agenda and Minutes of Meetings are published on the website, together with key papers. The Agenda is also put up on the Parish Noticeboards in Caldbeck and Hesket Newmarket.
2. The Council reviews, amends and approves Standing Orders and Financial regulations at least annually. These set out in detail how financial matters should be dealt with and are the practice of the Council.
3. Each Parish Councillor has been provided with a copy of the Parish Council's Code of Conduct to remind all members of their obligations. The Code of Conduct has been updated in light of Allerdale Borough Council's advice during elections briefings that parish councils are expected to follow its Code of Conduct. A brief protocol has been added which sets out how effective relations between Parish Councillors and the Clerk should be maintained, drawing on best practice.
4. At each Parish Council Meeting a schedule of accounts and a financial statement is produced so Councillors have up to date information about the Council's financial position and this statement is recorded in the Council Minutes.
5. On line banking arrangements were in operation for the whole year. These have proved effective with most payments and receipts being made on line. Cheques are used for some payments and a few cheques are received for allotment and other rents. New systems are being put in place in March 2019 so that rents and the Allotment Society subscriptions are collected for the Annual General Meeting.
6. The Council's insurance policy is checked annually to ensure that it provides the correct level of cover. A Fidelity Bond is contained within the Parish Council Insurance. This provides cover at all times for the Parish Council funds held within the Bank Accounts. The Fidelity Guarantee is for £25,000 which more than covers the amount held in Accounts.
7. There is no petty cash held by the Clerk. Expenses are claimed quarterly as per the record sheet. This procedure is verified at the annual Audit.

8. The Clerk's Job description seeks to ensure that the Contract between the Clerk and the Council is properly set out for the benefit of both parties. The Clerk is aware of his responsibilities in respect of financial and other matters relating to risk management.
9. The Council inspects the Allotments yearly to ensure that they are being worked in line with the Allotment Agreement signed by each Allotment Holder, and the Clerk on behalf of the Council. The Inspection and its findings are noted. For 2018-19 an inspection was made when the Allotment Society expressed concerns about possible risks to the screening provided by the belt of trees and hedgerow on the west boundary.
10. The Council visits and inspects the John Peel Shelter, the Market Cross, and the seats and litter bins owned by the Council, annually, to determine upkeep and repairs.
11. The Parish Council's asset register is reviewed and updated. In the year the Parish Council has taken ownership of a bench in Caldbeck car park gifted to the Parish Council for the benefit of the community.
12. Risks are reported to the Parish Council as part of discussions on projects. The Parish Council is actively pursuing a number of important projects - establishing a footpath between Caldbeck and Hesket Newmarket, purchasing surplus land from the National Park (including the Parish car parks and the village greens at Hesket Newmarket), exploring opportunities for self build/affordable housing, and preparing to take on the funding responsibility for street lighting given Allerdale Borough Council's decision to cease the service. All these projects pose potential risks which are discussed as part of decision making.
13. In addition the Parish Council considers emerging risks, for example the potential legal challenge pertaining to grants for grass cutting of church yards.

Reviewed and approved by the Parish Council on 25 March 2019.